

ethics

self-assessment form

Materials International Postdoctoral Programme in Materials Science and Engineering

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# ETHICS SELF-ASSESSMENT

## APPLICANT INFORMATION

Proposal Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Applicant Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

## instructions

In addition to the European Commission guidelines, please take into account the [IMDEA ethics policy](https://materials.imdea.org/code-of-ethics/), as the Ethics Committee will monitor proper compliance with it.

The applicant is required to complete all ethics checklists relevant to their proposal, as outlined in the official guidance document: [*How to complete your ethics self-assessment – European Commission*](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/how-to-complete-your-ethics-self-assessment_en.pdf)

These checklists must be selected according to the specific thematic areas addressed in the Research Proposal. The completed Ethics Issues Tables must be attached to this Ethics Self-Assessment form as part of the submission.

At the end of this form, the applicant shall include a declaration of truthfulness.

## Ethics Issues checkLIST

|  | **Yes** | **No** | **Explanation with Reference to the Page Number in the Proposal** |
| --- | --- | --- | --- |
| **1. Human Embryonic Stem Cells and Human Embryos** |   |   |   |
| Does this activity involve Human Embryonic Stem Cells (hESCs)?  |   |   |   |
| Does this activity involve the use of human embryos?  |   |   |   |
| **2. Humans** |  |  |  |
| Does this activity involve human participants?  |   |   |   |
| Does this activity involve interventions (physical also including imaging technology, behavioral treatments, etc.) on the study participants?  |   |   |   |
| Does this activity involve conducting a clinical study as defined by the Clinical Trial Regulation (EU 536/2014)? (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products) |   |   |   |
| **3. Human Cells / Tissues (not covered by section 1)** |   |   |   |
| Does this activity involve the use of human cells or tissues?  |   |   |   |
| **4. Personal Data** |   |   |   |
| Does this activity involve processing of personal data?  |   |   |   |
| Does this activity involve further processing of previously collected personal data (including use of preexisting data sets or sources, merging existing data sets)? |   |   |   |
| Is it planned to export personal data from the EU to non-EU countries? Specify the type of personal data and countries involved: |   |   |   |
| Is it planned to import personal data from non-EU countries into the EU or from a non-EU country to another non-EU country? Specify the type of personal data and countries involved: |   |   |   |
| Does this activity involve the processing of personal data related to criminal convictions or offences?  |   |   |   |
| **5. Animals** |   |   |   |
| Does this activity involve animals?  |   |   |   |
| **6. Non-EU Countries** |   |   |   |
| Will some of the activities be carried out in non-EU countries?  |   |   |   |
| In case non-EU countries are involved, do the activities undertaken in these countries raise potential ethics issues?  |   |   |   |
| Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?  |   |   |   |
| Is it planned to import any material (other than data) from non-EU countries into the EU or from a non-EU country to another non-EU country?  |   |   |   |
| Is it planned to export any material (other than data) from the EU to non-EU countries?  |   |   |   |
| Does this activity involve low and/or lower middle income countries (if yes, detail the benefit-sharing actions planned in the self-assessment)?  |   |   |   |
| Could the situation in the country put the individuals taking part in the activity at risk?  |   |   |   |
| **7. Environment, Health and Safety** |   |   |   |
| Does this activity involve the use of substances or processes that may cause harm to the environment, to animals or plants (during the implementation of the activity or further to the use of the results, as a possible impact)? |   |   |   |
| Does this activity deal with endangered fauna and/or flora / protected areas?  |   |   |   |
| Does this activity involve the use of substances or processes that may cause harm to humans, including those performing the activity (during the implementation of the activity or further to the use of the results, as a possible impact)?  |   |   |   |
| **8. Artificial Intelligence** |   |   |   |
| Does this activity involve the development, deployment and/or use of Artificial Intelligence? |   |   |   |
| (If yes, detail in the self-assessment whether that could raise ethical concerns related to human rights and values and detail how this will be addressed.) |   |   |   |
| **9. Other Ethics Issues** |   |   |   |
| Are there any other ethics issues that should be taken into consideration?  |   |   |   |

☐ I confirm that I have taken into account all ethics issues above and that, if any ethics issues apply, I will complete the ethics self-assessment as described in the guidelines [*How to complete your ethics self-assessment – European Commission*](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/how-to-complete-your-ethics-self-assessment_en.pdf)

|  |
| --- |
| **Ethical dimension of the objectives, methodology and likely impact (max. 5000 characters)** |
| Explain in detail the identified issues in relation to: - objectives of the activities (e.g. study of vulnerable populations, etc.) - methodology (e.g. clinical trials, involvement of children, protection of personal data, etc.) - the potential impact of the activities (e.g. environmental damage, stigmatization of particular social groups, political or financial adverse consequences, misuse, etc.)  |
| **Compliance with ethical principles and relevant legislations**  |
| Describe how the issue(s) identified in the ethics issues table above will be addressed in order to adhere to the ethical principles and what will be done to ensure that the activities are compliant with the EU/national legal and ethical requirements of the country or countries where the tasks are to be carried out. It is reminded that for activities performed in a non-EU countries, they should also be allowed in at least one EU Member State. |

I understand that this request will be reviewed by the ATENEA Ethics Committee and that I may be contacted for further clarification.

**Signature:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
**Date:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_